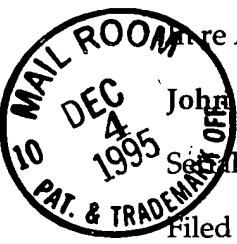


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Application of

John C. Harvey and James W. Cuddihy

Serial No. 08/487,411

Filed June 7, 1995

Group Art Unit 2602

95 DEC 21 AM 11:25 Examiner

Att. Dkt. 5634.318

2602

For **SIGNAL PROCESSING APPARATUS
AND METHODS**

TRANSMITTAL

Assistant Commissioner of Patents
Washington, D.C. 20231

- ☒ Supplemental Preliminary Amendment
- ☒ Information Disclosure Statement and PTO 1449
- ☒ Small Entity Status under 37 CFR 1.9 and 1.27 has been established
- ☒ The fee has been calculated as shown below:

	(Col 1) Claims Remaining After Amendment		(Col 2) Highest No. Previously Paid for	(Col 3) Present Extra	Rate	Additional Fee
Total	* 14	Minus	** 20	= 0	x \$ 11	\$.00
Indep.	* 5	Minus	*** 3	= 2	x \$ 39	\$ 78.00
First Presentation of Mult. Dep. Claim					x \$ 125	\$.00
Total Additional Filing Fee						\$.00
Total Fee Enclosed						\$ 78.00

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space. "The Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☒ Howrey & Simon check no. 116198 in the amount of \$78.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038. This sheet is submitted in triplicate.

- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Thomas J. Scott, Jr.

Thomas J. Scott, Jr.
Registration No. 27,836
Attorney for Applicants

Date: December 4, 1995
HOWREY & SIMON
1299 Pennsylvania Avenue, NW
Washington, D.C. 20004
Tel: (202) 783-0800



78-202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED PATENTS
95 DEC 21 AM 11:25
GROUP 260

In re Application of :
John C. Harvey and James W. Cuddihy : Examiner:
Serial No. 08/487,411 : Group Unit: 2602 ✓
Filed June 7, 1995 : Atty Dkt: 5634.318
For **SIGNAL PROCESSING APPARATUS AND METHODS**

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etc

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Prior to initiating the Examination of the above-described continuation application, Applicants herewith submit the following Preliminary Amendment and Remarks.

IN THE CLAIMS

Please add the following claim(s):

n/m - Controlled

- Sub DI
3. A method of controlling a plurality of receiver stations each of which
- 2 includes a television receiver, a signal detector, a processor, and with each said receiver
- 3 station adapted to detect the presence of one or more control signals and programmed
- 4 to process downloadable executable code, said method of controlling comprising the
- 5 steps of:
- 6 (1) receiving at a transmitter station some downloadable executable code
- 7 (which is effective at a receiver station to generate a user specific financial analysis, said

1 202

78.00 CK